

# The impact of doctor–patient relationship & communication (on mastectomy rates)

Professor Malcolm W Reed

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# What is the standard of care for early operable breast cancer?

BCS

Choice

~~Mastectomy~~

# BCS

# Vs.

# Mastectomy

Better body image

? Better health related quality of life

Radiotherapy not integral to treatment

Simpler treatment package

Lower local recurrence rates

Survival is equal

Risk of reoperation for margins

Radiotherapy integral to treatment

Higher local recurrence rates

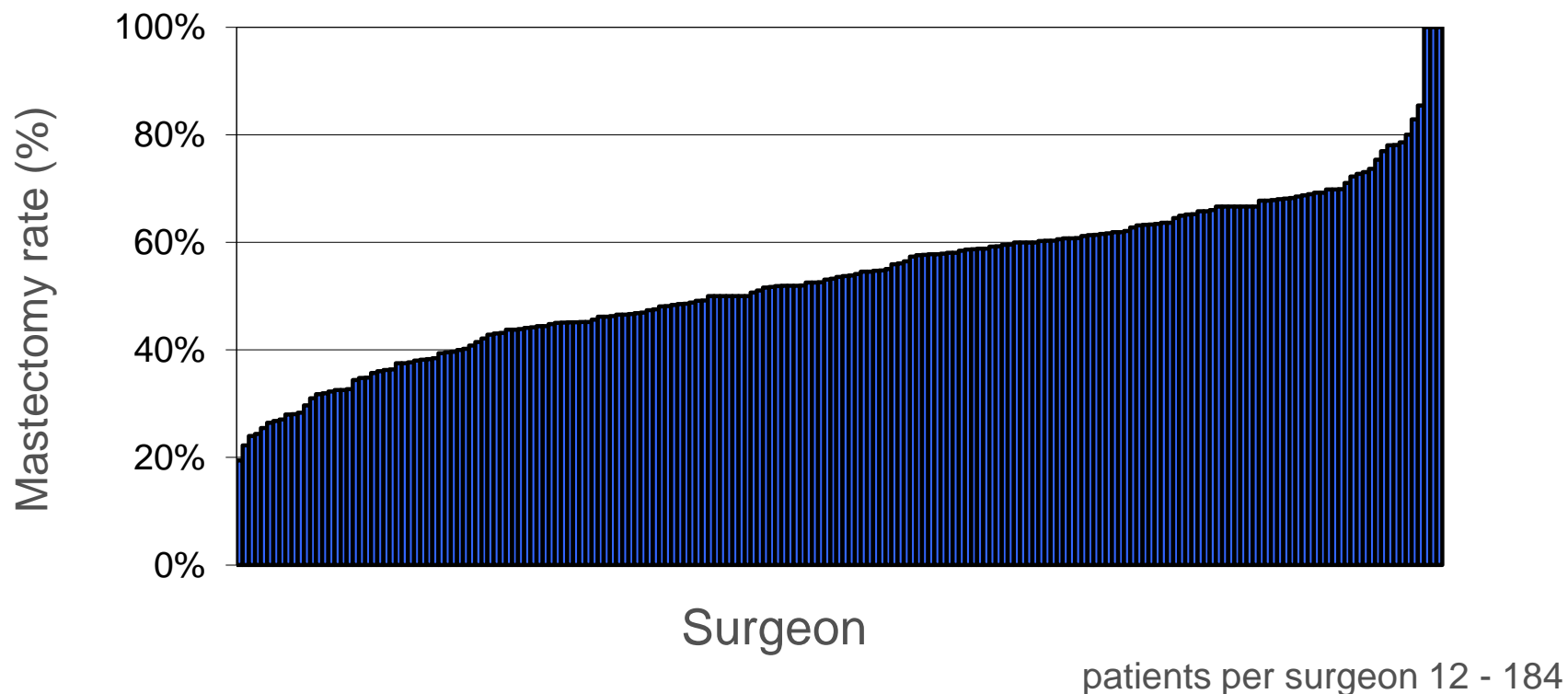
Breast loss

Body image worse

Sexuality worse



# Variation in UK surgeons' mastectomy rates

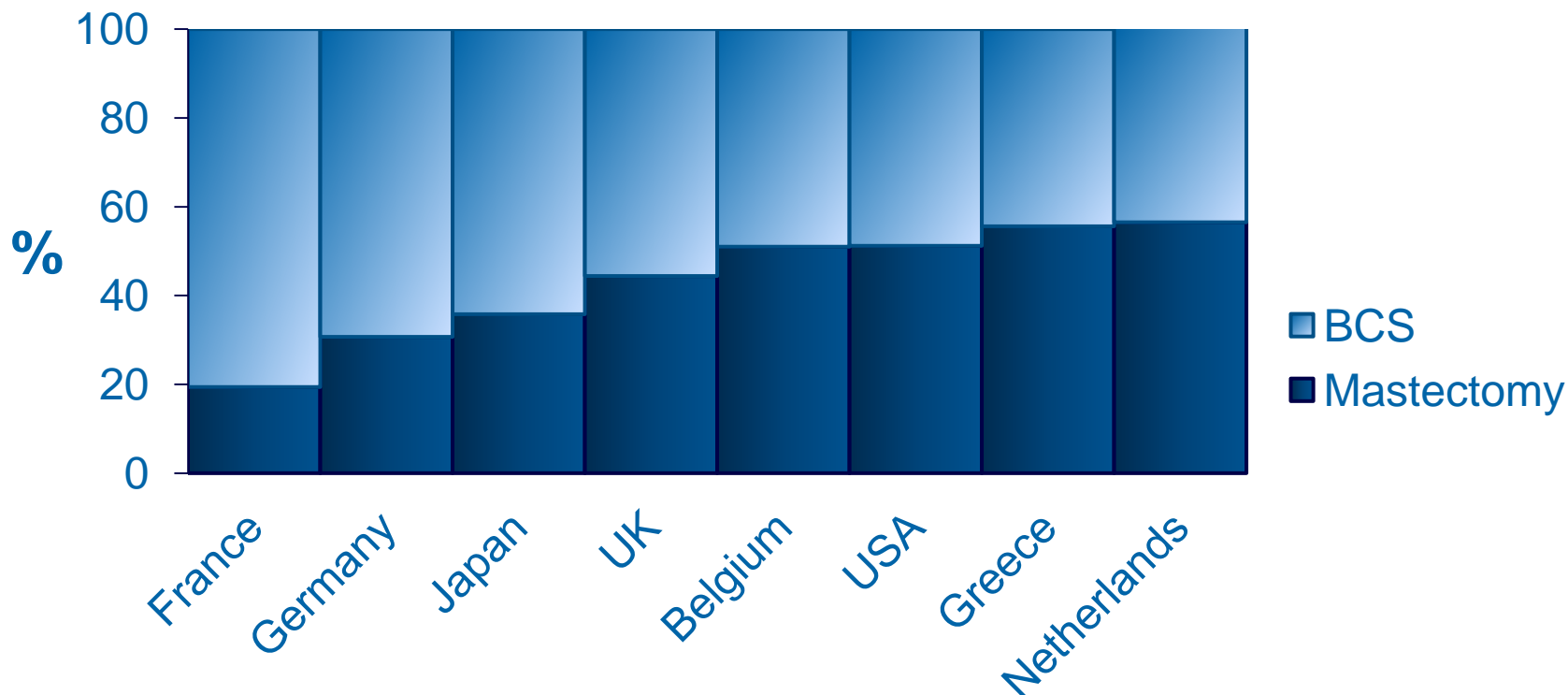


BCCOM Project Year 3:  
The management of primary breast cancers diagnosed in 2004 in the UK



## Variations in locoregional therapy in postmenopausal patients with early breast cancer treated in different countries

J. G. H. van Nes<sup>1</sup>, C. Seynaeve<sup>3</sup>, S. Jones<sup>4</sup>, C. Markopoulos<sup>5</sup>, H. Putter<sup>2</sup> and C. J. H. van de Velde<sup>1</sup>  
on behalf of the Tamoxifen and Exemestane Adjuvant Multinational (TEAM) trialists



BJS 2010; 97: 671-9

## Persistent Differences in Sociodemographic Determinants of Breast Conserving Treatment Despite Overall Increased Adoption

HEALTH SERVICES RESEARCH

RECHERCHE EN SERVICES DE SOINS DE SANTÉ

## Variation in breast cancer surgery in Ontario



International Journal for Quality in Health Care, Vol. 6, No. 3, pp. 233-246, 1994  
Printed in Great Britain  
1353-4559/94/00031-X

## Variation in Use of Breast Surgery and Characteristics of Hospitals' Surgical Staff

ROBERT  
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ANGELI  
MURIZ  
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## Trends in Breast Conserving Surgery Among Asian Americans and Pacific Islanders, 1992-2000

Mita Sanghavi Goel, MD, MPH,<sup>1</sup> Risa B. Burns, MD,<sup>2</sup> Russell S. Phillips, MD,<sup>2</sup> Roger B. Davis, ScD,<sup>2</sup> Guyen Ngo-Metzger, MD, MPH,<sup>2</sup> Ellen P. McCarthy, PhD, MPH,<sup>2</sup> Division of General Internal Medicine, Department of Medicine, Northwestern University, Chicago, IL, USA; <sup>1</sup>Division of General Medicine and Primary Care, Department of Medicine, Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA, USA; <sup>2</sup>Division of General Medicine and Primary Care and the Health Policy Research Center, University of California Irvine College of Medicine, Irvine, CA, USA.

**BACKGROUND:** Breast-conserving surgery (BCS) has been the recommended treatment for early-stage breast cancer since 1990 yet many women still do not receive this procedure.

**OBJECTIVE:** To examine the relationship between 1992 and 1999 use of BCS in Asian-American and Pacific-Islander (APIO) women, and to determine whether disparities between white and APIO women persist over time.

**DESIGN:** Retrospective cohort study.

**SETTING AND PARTICIPANTS:** Women with newly diagnosed stage I or II breast cancer from 1992 to 2000 in the Surveillance, Epidemiology, and End Results program.

**OUTCOME:** Receipt of breast-conserving surgery for initial treatment of stage I or II breast cancer.

**MAIN RESULTS:** Overall, APIO women had lower rates of BCS than white women (47% vs 59%;  $P < .01$ ). Foreign-born APIO women had lower rates of BCS than U.S.-born APIO and white women (43% vs 56% vs 59%;  $P < .01$ ). After adjustment for age, marital status, tumor registry, year of diagnosis, stage at diagnosis, tumor size, histology, grade, and hormone receptor status, foreign-born APIO women (adjusted OR [aOR], 0.49; 95% CI, 0.32 to 0.78) and U.S.-born APIO women (aOR, 0.77; 95% CI, 0.62 to 0.95) had lower odds of receiving BCS than white women. Use of BCS increased over time for each racial/ethnic group; however, foreign-born APIO women had persistently lower rates of BCS than non-Hispanic white women.

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www.bjccancer.com

## Case-mix fails to explain variation in mastectomy rates: management of screen-detected breast cancer in a UK region 1997-2003

LJM Caldon,<sup>1</sup> SJ Walters,<sup>1</sup> JA Reed,<sup>1</sup> A Murphy,<sup>2</sup> A Worley,<sup>3</sup> and MWR Reed<sup>1,4</sup>

<sup>1</sup>Academic Surgical Oncology Unit, Division of Surgical Sciences (South), Section of Surgical & Anaesthetic Sciences, The University of Sheffield, Royal Hallamshire Hospital, Sheffield S10 2P, UK; <sup>2</sup>School of Health and Related Research, Sheffield Hallam University, SHEHAR, University of Sheffield, Regent Court, 30 Regent St, Sheffield S1 4DA, UK; <sup>3</sup>East Midlands Breast Screening Quality Assurance Reference Centre, Reford Ward, Nottingham City Hospital NHS Trust, Hudders Road, Nottingham NG5 1PB, UK

Wide variation in the surgical management of breast cancer exists at hospital, regional, national and international level. To demonstrate whether variation in surgical practice observed at aggregate level between breast units persists following adjustment for case-mix, individual patient-level data from the Trent Breast Screening Programme Quality Assurance database (1997-2003) was analysed. Expected case-mix adjusted mastectomy rates were derived by logistic regression using the variables tumour size, site and grade, patient age and year of presentation, employing the region's overall case-mix adjusted practice as the reference population. The region's 11 breast screening units detected 5109 (2989 invasive) surgically managed primary breast cancers over the 6-year period. A total of 1828 mastectomies (Mx) were performed (Mx rate 35.8%, 95% confidence interval: 34.5-37.1%). Significant variation in mastectomy rates was observed among units (range 25-45%,  $P < 0.0001$ ), and persists following case-mix adjustment ( $P < 0.0001$ ). Two-fold variation in observed to expected unit mastectomy rate coefficient is demonstrated overall (range 0.66-1.36), increasing to almost four-fold variation in cancers less than 15 mm diameter (range 0.55-1.95). Significant variation in surgery for screen-detected primary breast cancer is not explained by case-mix. Further research is required to investigate potential patient and professional causative factors.

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Published online 21 December 2004  
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**Keywords:** breast cancer; mastectomy; screening; audit; variation; case-mix adjustment

## A first look at variations in use of breast conserving surgery at five teaching hospitals in Japan

TATSUO ISHIZAKI, YUICHI IIPANAKA, MASAHIRO HIROSE, KAZUAKI KUWABARA, TOSHI-OKAGAWA AND YOSHIAKI HARADA

ANZ J. Surg. 2006; 76: 996-1001

doi: 10.1111/j.1445-2197.2006.01917.x

## ORIGINAL ARTICLE

## URBAN-RURAL DIFFERENCES IN THE MANAGEMENT OF SCREEN-DETECTED INVASIVE BREAST CANCER AND DUCTAL CARCINOMA IN SITU IN VICTORIA

DAVID L. KOK,\* JIU

School of Medicine  
Centre for Women  
Department of Health

At least one  
this study was  
Screen  
An analysis of  
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found that fac  
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ratio, 0.53; 95%  
mour size, sur  
use of BCS for  
in: Among Vic  
with rural women

## Special Article

## THE EFFECT OF LEGISLATIVE REQUIREMENTS ON THE USE OF BREAST-CONSERVING SURGERY

ANN BUTLER NATTINGER, M.D., M.P.H., RAYMOND G. HOFFMANN, Ph.D., ROBYN SHAPIRO, J.D., MARK S. GOTTLEB, Ph.D., AND JAMES S. GOODWIN, M.D.

## ABSTRACT

**Background:** We studied the effect of state legislation requiring the disclosure of options for the treatment of breast cancer on the use of breast-conserving surgery in clinical practice.

**Methods:** The National Cancer Institute's Surveillance, Epidemiology, and End Results registry provided data on women from 30 through 79 years of age who underwent breast-conserving surgery or mastectomy for local or regional breast cancer from 1983 through 1990. We examined the trend over time in the use of breast-conserving surgery among patients in four sites (Connecticut, Iowa, Seattle, and Utah) where there were no state laws specifically requiring the disclosure of options for the treatment of breast cancer by physicians. For four additional sites (Detroit, Atlanta, New Mexico, and Hawaii) that had such legislation, we determined whether the rate of breast-conserving surgery after the legislation was different from the expected rate.

**Results:** An attorney rated the legislation as giving most direction to physicians in Michigan, followed by Hawaii, Georgia, and New Mexico. The rate of breast-conserving surgery was up to 8.7 percent higher than expected in Detroit for six months after the passage of the Michigan law ( $P < 0.01$ ). The rate was up to 13.2 percent higher than expected in Hawaii for 12 months after that state's law was passed ( $P < 0.05$ ) and up to 6.0 percent higher than expected in Atlanta for 3 months after the passage of the Georgia law ( $P < 0.01$ ). After these transient increases, the surgery rates reverted to the expected levels. No significant effect was detected in New Mexico, where only a resolution without legal force was passed.

**Conclusions:** Legislation requiring physicians to disclose options for the treatment of breast cancer appeared to have only a slight and transient effect on the rate of use of breast-conserving surgery. (In Engl J Med 1996;335:1035-40.)  
©1996, Massachusetts Medical Society.

## Original article

## The National Health Service Breast Screening Programme and British Association of Surgical Oncology audit of quality assurance in breast screening 1996-2001



Pergamon

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0895-4356/95 \$9.50 + 0.00

Service Breast Screening  
Program  
Birmingham B15 2TT,

## APPROPRIATENESS AND VARIATION OF SURGICAL TREATMENT OF BREAST CANCER IN ITALY: WHEN EXCELLENCE IN CLINICAL RESEARCH DOES NOT MATCH WITH GENERALIZED GOOD QUALITY CARE

GIULIONE<sup>1</sup>, A. NICOLUCCI<sup>1</sup>, R. GRILLI<sup>1</sup>, C. ANGIOLINI<sup>2</sup>, F. CARINCI<sup>1</sup>, D. CUBASSO<sup>3</sup>, G. FILARDO<sup>3</sup>, D. L'ABBROZZI<sup>1</sup>

## Regional Differences in Surgical Management of Breast Cancer

Robert T. Osteen, MD  
Glenn D. Steele, Jr., MD  
Herman R. Menick, CPH  
David P. Winchester, MD

In an effort to investigate possible regional variations in the treatment of breast cancer, the 1988 National Cancer Data Base (NCDB) data for nine regions of the country were compared. A previous Patient Care Evaluation study by the Commission on Cancer of the American College of Surgeons had suggested the existence of regional variations in the treatment of breast cancer.<sup>1</sup> The rapidly changing concepts in the surgical treatment of breast cancer offered an opportunity to investigate regional variations, specifically with respect to the use of partial mastectomy with or without radiation therapy, as a substitute for total mastectomy.

Although the substitution of partial mastectomy and radiation therapy for total

mastectomy began in some centers earlier, it was not until the 1980s that large randomized studies established the principle that, in certain circumstances, partial mastectomy with radiation therapy was an effective substitute for mastectomy in the treatment of early stage (0, I, II) breast cancer.<sup>2,3</sup>

The advantages of partial mastectomy are primarily cosmetic and psychological, and there are reasons why some patients and physicians might favor one treatment over another. We were interested to see whether or not these individual choices would result in differences in regional behavioral patterns. These initial results are presented to encourage more specifically targeted studies of problems related to the treatment of breast cancer.

## Methods

Dr. Osteen is Vice Chairman of the Department of Surgery at the Brigham and Women's Hospital in Boston, Massachusetts.

02:72:708-715

## AL ARTICLE

## SURGICAL MANAGEMENT OF DUCTAL CARCINOMA IN SITU IN AUSTRALIA IN 1995

ACE SHUGG,\* VICTORIA M. WHITE,<sup>1</sup> PAUL R. B. KITCHEN,<sup>1</sup> MYEE PRUDEN,<sup>1</sup> JOHN P. COLLINS,<sup>1</sup> AND DAVID J. HILL<sup>2</sup>

<sup>1</sup>Centre for Population Health Research, University of Tasmania, Hobart, Tasmania, <sup>2</sup>Centre for Behavioural Research in Cancer, Cancer Research Institute, The Cancer Council Victoria, Carlton, <sup>3</sup>Department of Surgery, Vincent's Hospital, Fitzroy, <sup>4</sup>Department of Surgery, Royal Melbourne Hospital, Parkville, Victoria, Australia

**and:** In the present paper we describe the presentation and management of ductal carcinoma *in situ* (DCIS) of the breast in Australia in 1995. This representative, national data set provides a historical comparator for studies examining DCIS that follow.

Surgeons identified by population-based cancer registries as having treated a new diagnosis of DCIS between 1 April 1995 completed a questionnaire on the presentation and management of each case.

Two hundred and five surgeons supplied treatment details on 418 DCIS tumours in 415 women. Half of all tumours were at BreastScreen clinics and a further 25% were detected at other mammography centres. Twenty-six percent of tumours were at presentation, 33% were multifocal and 55% were high grade (including comedocarcinoma). Breast conserving BCT) rather than mastectomy was utilized in 260 (62%) of cases. Tumours that were of low grade, small in size and not in were more likely to be treated by BCT. Surgeons seeing six or more DCIS cases in the 6-month period were more likely to T. Of the conservatively treated cases, 22% were referred for a radiation oncology consultation. The most common reasons for DCIS with mastectomy were that the tumour was too extensive or multifocal (6%), it extended to margins of the specimen, or patient concerns about recurrence (34%).

Conclusion: In 1995, the majority of DCIS were diagnosed with breast conserving surgery alone. Surgeons treating more DCIS



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# Why does treatment vary?



- Case-mix
- Clinicians' choice
- Patients' choice

interaction



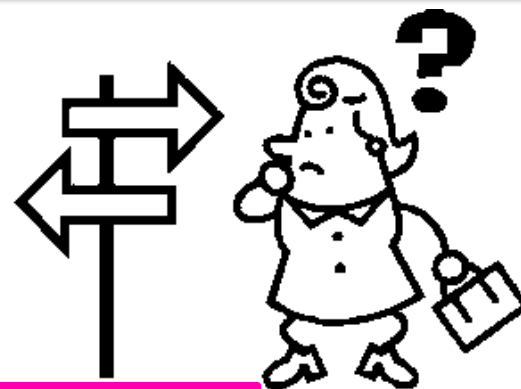
# Decision-making approaches

You will be having ...



Paternalistic

You can have ... or ...



Information &  
support

Shared

Information only

Informed choice





# Why give choices?

- No 'best' treatment
- Patients' treatment preferences vary
- When provided with information & allowed to play the role they want in treatment selection patients
  - are more satisfied
  - have less regret about their treatment
  - make a better psychological recovery



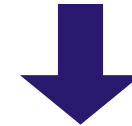
# Research project overview



Identify factors influencing  
Mastectomy rates

Data collection 2003 - 2006

14 hospitals



3 Hospitals in-depth



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# It is not the cancers Clinicians' preferences vary Women want more say in decisions

Case-mix fails to explain variation in mastectomy rates:  
management of screen-detected breast cancer in a UK region  
1997–2003

LJM Caldon<sup>1</sup>, SJ Walters<sup>2</sup>, JA Reed<sup>3</sup>, A Murphy<sup>3</sup> and MWR Reed<sup>4,5</sup>

<sup>1</sup>Academic Surgical Oncology Unit, Division of Surgical Sciences (South), Section of Surgical & Anaesthetic Sciences, The University of Sheffield, Floor K, Royal Hallamshire Hospital, Sheffield S10 3P, UK; <sup>2</sup>School of Health and Related Research, Sheffield Health Economics Group, SHARR, University of Sheffield, Regent Court, 30 Regent St. Sheffield S1 4DA, UK; <sup>3</sup>East Midlands Breast Screening Quality Assurance Reference Centre, Rufford Ward, Nottingham City Hospital N16 Trust, Hucknall Road, Nottingham NG5 1PB, UK

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**Keywords:** breast cancer; mastectomy; screening; audit; variation; case-mix adjustment

Clinical Studies

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## What influences clinicians' operative preferences for women with breast cancer? An application of the discrete choice experiment

Lisa J.M. Caldon<sup>a,\*</sup>, Stephen J. Walters<sup>b</sup>, Julie Ratcliffe<sup>c</sup>, Malcolm W.R. Reed<sup>d</sup>

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Discrete choice experiment  
Stated preferences  
Professional preferences

### ABSTRACT

**Introduction:** Little is known regarding cancer clinicians' treatment preferences.  
**Aim:** Determine the impact of pre-operative variables over specialist breast clinicians' operative preferences using discrete choice experiment methodology.  
**Methods:** Cross-sectional survey of operative preferences to hypothetical scenarios based on: patient age, bra cup size, cancer size, site and focality.  
**Results:** 79% response rate (80/93). Multinomial logistic regression across scenarios ( $n = 1495$ ) with allowance for response clustering, comparing equal preference for mastectomy and breast conservation surgery (BCS) with preference for mastectomy or BCS. Increasing patient age, cancer size, central site, multi-focality and reducing cup size, all associated with preference for mastectomy, over equal preference, over BCS ( $P < 0.001$ ). Doctors preferred specific treatments, females and nurses avoided mastectomy ( $P = 0.005$  and  $P = 0.001$  respectively).  
**Conclusion:** Clinician preferences were predominantly treatment guideline congruent, but significantly influenced by patient age, clinician gender and occupation. This methodology is capable of elucidating treatment preferences and could be applied elsewhere where treatment options and practice variability exist.

### Original article

## Changing trends in the decision-making preferences of women with early breast cancer

L. J. M. Caldon<sup>1</sup>, S. J. Walters<sup>2</sup> and M. W. R. Reed<sup>1</sup>

<sup>1</sup>Academic Unit of Surgical Oncology, School of Medicine and Biomedical Sciences, and <sup>2</sup>Medical Statistics Group, School of Health and Related Research, University of Sheffield, Sheffield, UK  
Correspondence to: Lisa J. M. Caldon, Academic Unit of Surgical Oncology, The University of Sheffield, K Floor, School of Medicine and Biomedical Sciences, Beech Hill Road, Sheffield S10 2RX, UK (e-mail: l.caldon@sheffield.ac.uk)

**Background:** Previous studies have indicated a predominance of passive decision-making styles among women with early-stage breast cancer in the UK offered a choice between breast-conserving surgery (BCS) and mastectomy. The aim of this study was to determine current decision-making styles and establish their association with operation choice and breast unit mastectomy rate.

**Methods:** A questionnaire survey was conducted among women from three specialist breast units representing high, medium and low case mix-adjusted mastectomy rates.

**Results:** Of 697 consecutive patients, 356 (51.1 per cent) completed the questionnaire, a mean of 6.9 (range 1.3–48.6) weeks after surgery. Some 262 women (73.6 per cent) underwent BCS and 94 (26.4 per cent) had a mastectomy. Some 218 patients (61.2 per cent) achieved their preferred decision-making style. The proportions of women achieving an active decision-making style were high, particularly for those choosing mastectomy (83 versus 58.0 per cent for BCS;  $P < 0.001$ ) and in the high mastectomy rate unit (79.6 versus 53 and 52.2 per cent for medium and low rate units respectively;  $P < 0.001$ ).

**Conclusion:** More women chose an active decision-making style than in previous UK studies. The provision of greater treatment selection autonomy to women suitable for BCS may not reduce mastectomy rates.

Presented in part to the BJS prize session of the Annual Scientific Meeting of the British Association of Surgical Oncology ~ the Association for Cancer Surgery, London, UK, November 2006, and published in abstract form in *Eur J Surg Oncol* 2006; 32(Suppl): 1028

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# What about the patients?

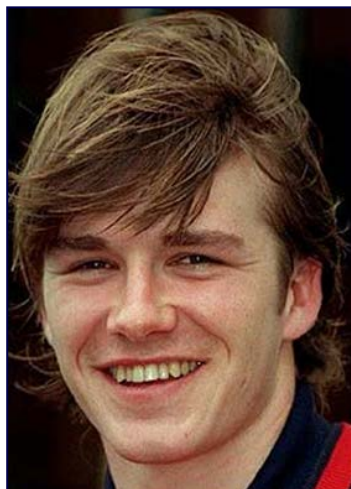
## ...Do women want to choose their operation?



# Patient survey

- **Sample (n=365/697)**
  - Patients from 3 Breast Units: high, medium & low Mx rates
  - Purposive sampling: women given a choice of surgery
- **Questionnaire** 2 validated tools
  - Decision-making styles *Strull et al. 1984, Degner et al. 1997*
- **Data analysis**
  - Frequency, Chi-square, One way ANOVA SPSS version 12.0

# Decision-making styles



**\*1990s**

> 50% women wanted  
decisions making for  
them

~30% Collaborative

~20% Active

**Now \*\***

> 80% wanted  
to participate in treat  
decisions

< 20% Passive

> 40% Active



Women choosing mastectomy were the most active  
decision-makers 83% vs. 58%  $p < 0.001$





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...but what happens in practice?  
Who determines the treatment?



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# Semi-structured interviews

- **Sample**
  - 3 Breast Units: high, medium & low Mx rates
  - Specialist doctors & nurses (n=29)
  - Patients: purposive sampling - given a choice (n=65)
- **Data**
  - Interviews recorded & transcribed verbatim
- **Data analysis** 'Framework' approach
  - Rigorous, systematic, comprehensive

# Treatment variation themes

## Low MR unit

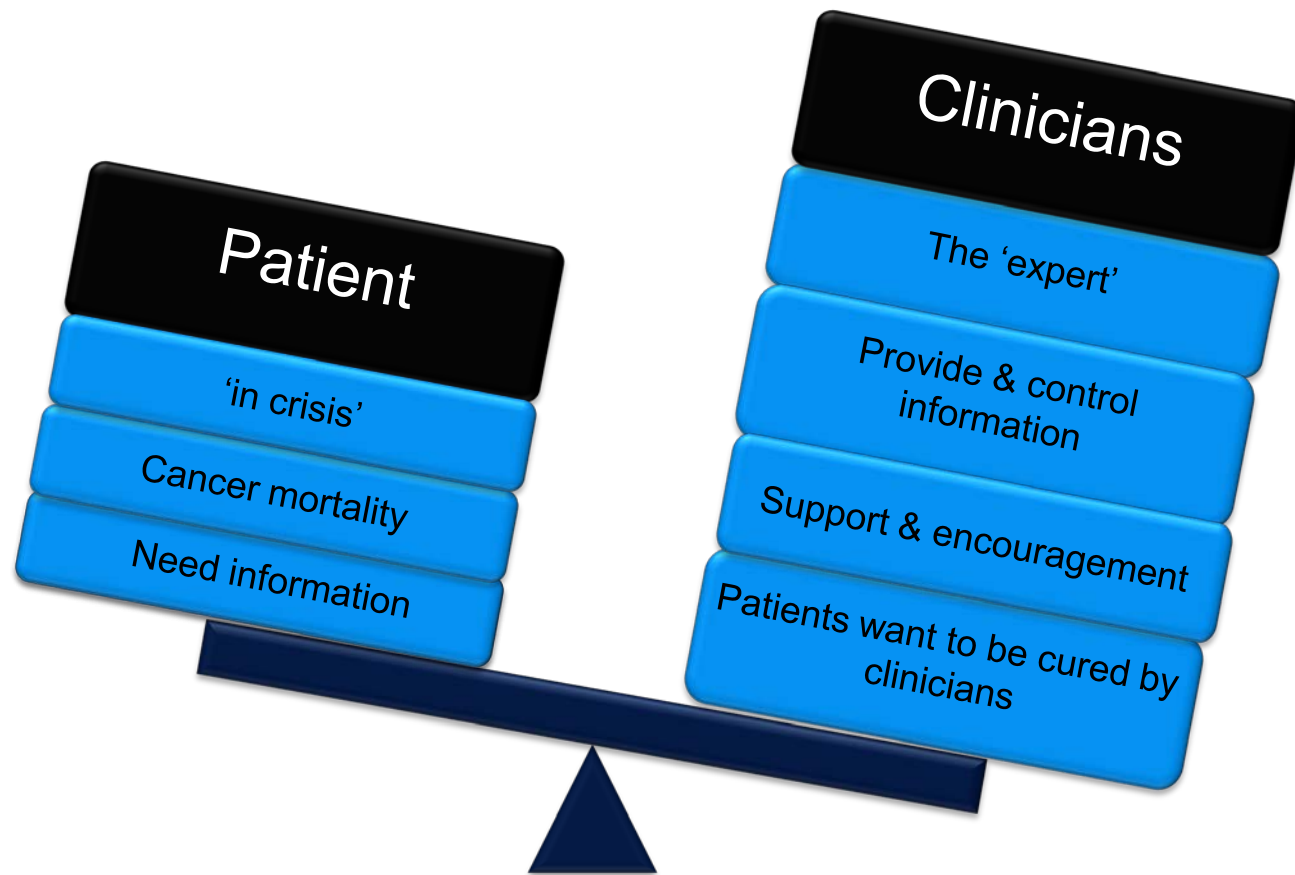
- Ethos of conservation
- Active direction of choice
  - Less comprehensive, more directive information
  - More recommendations
- Less support of autonomous patient decision-making
- Time pressure for decision-making
- ('Informed') compliance

## Medium and high MR units

- Ethos of choice
- Reluctance to direct choice
  - More comprehensive, less directive information
  - Less recommendations
- Active support of autonomous patient decision-making
- Lack of time pressure
- Shared decision making (informed consent)



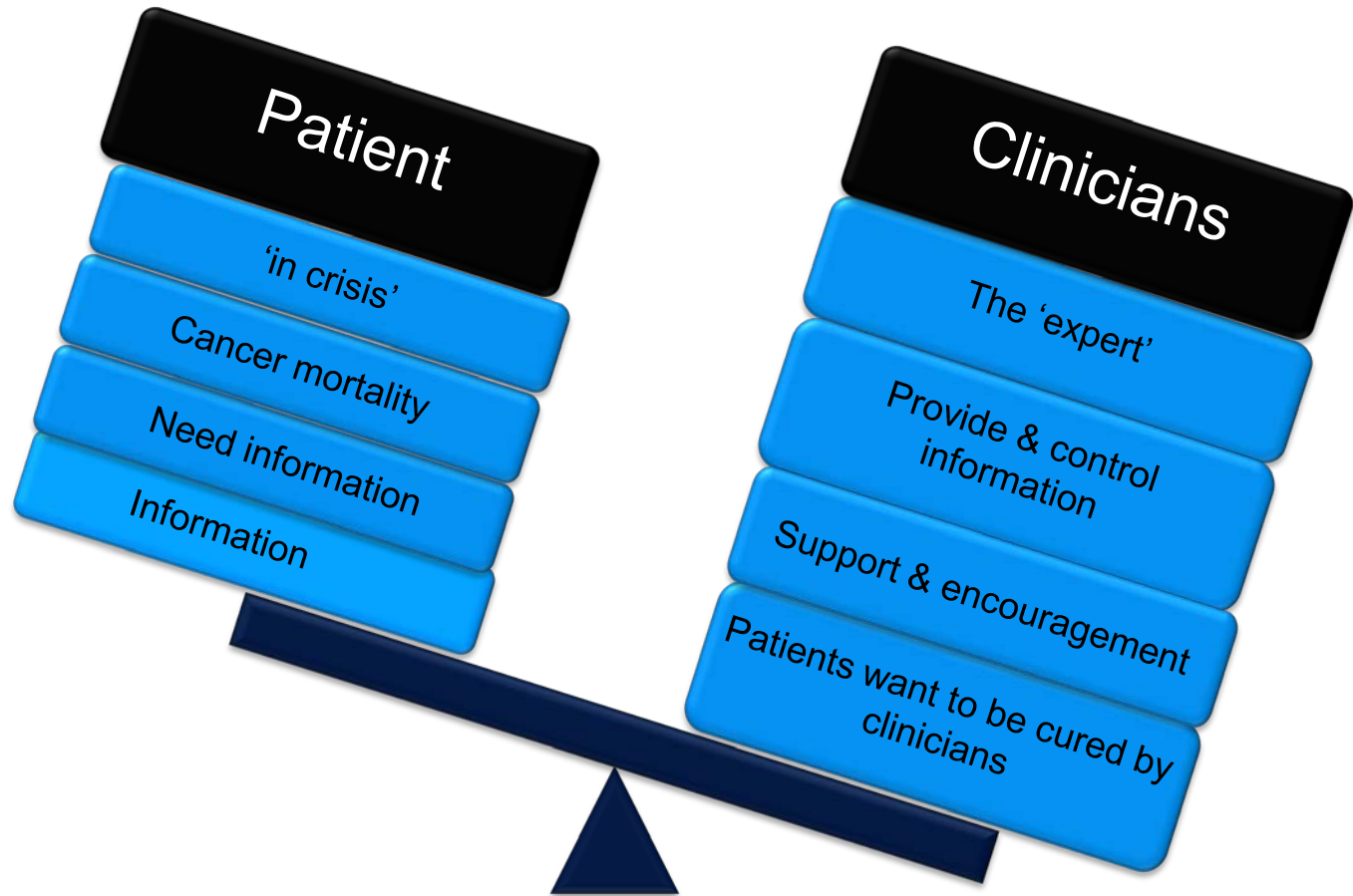
# Skewed power relationship





# Skewed power relationship

...which can be exaggerated

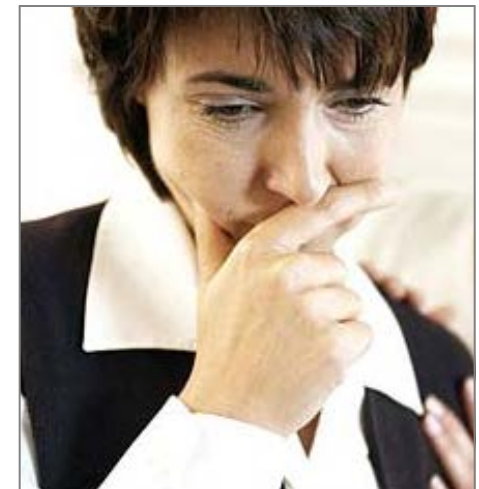




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“...personally ...I’ve always tried to conserve breasts ... I find the concept of open choice when it’s perfectly possible to do a simple breast conserving operation ...giving the same results as mastectomy ...quite peculiar...”

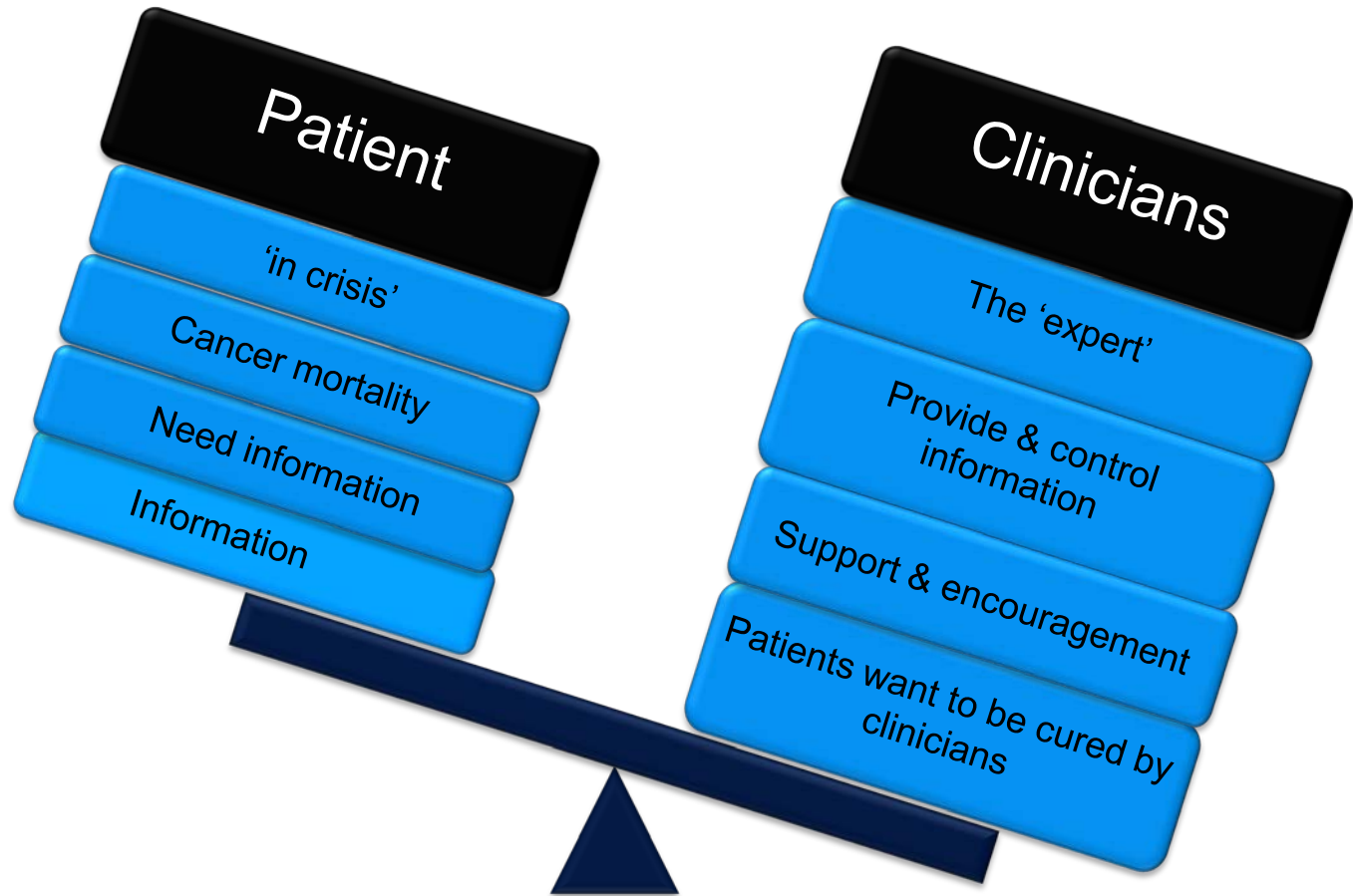
“...Mr\_\_ said to me, and I will never forget this, ‘I don’t like doing mastectomies’ ... it really upsets him ... So ...there was no discussion ...he really wasn’t listening to what I was saying...”





# Skewed power relationship

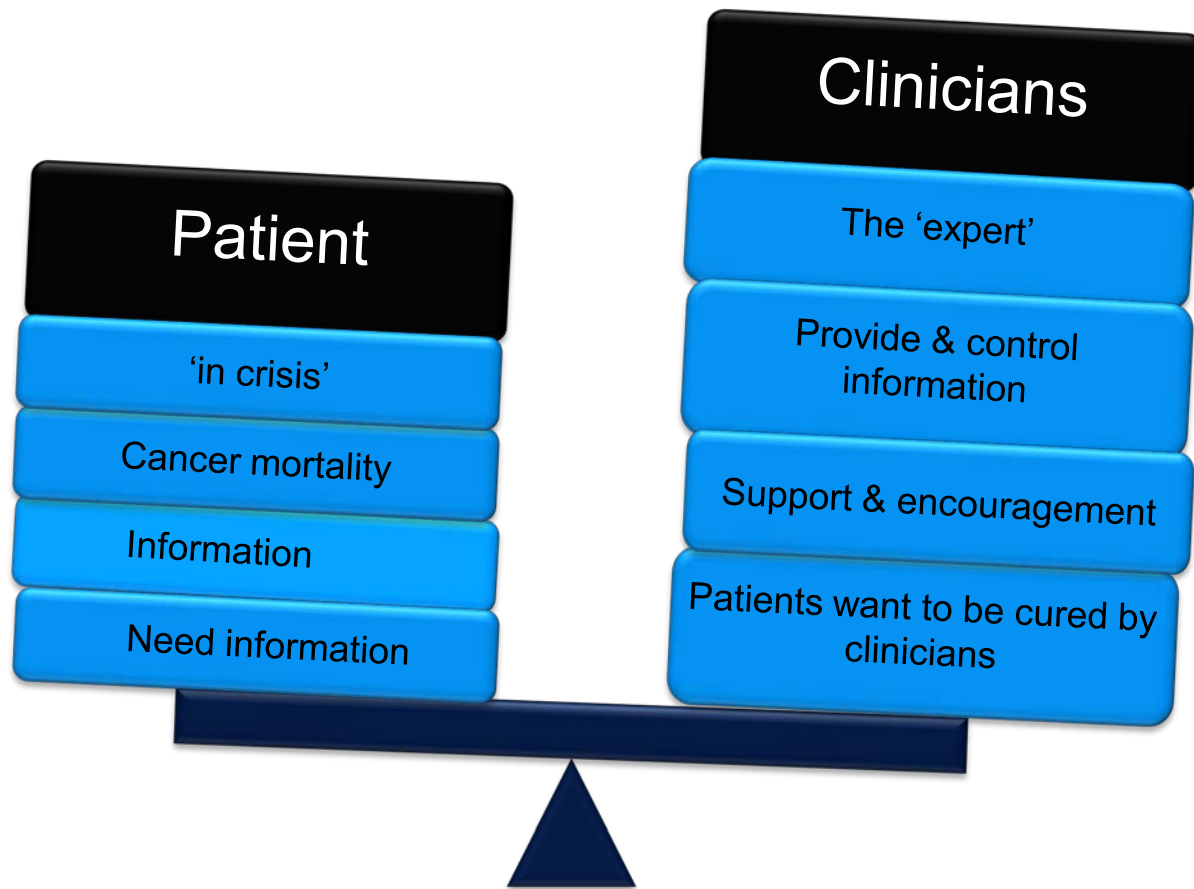
...which can be exaggerated





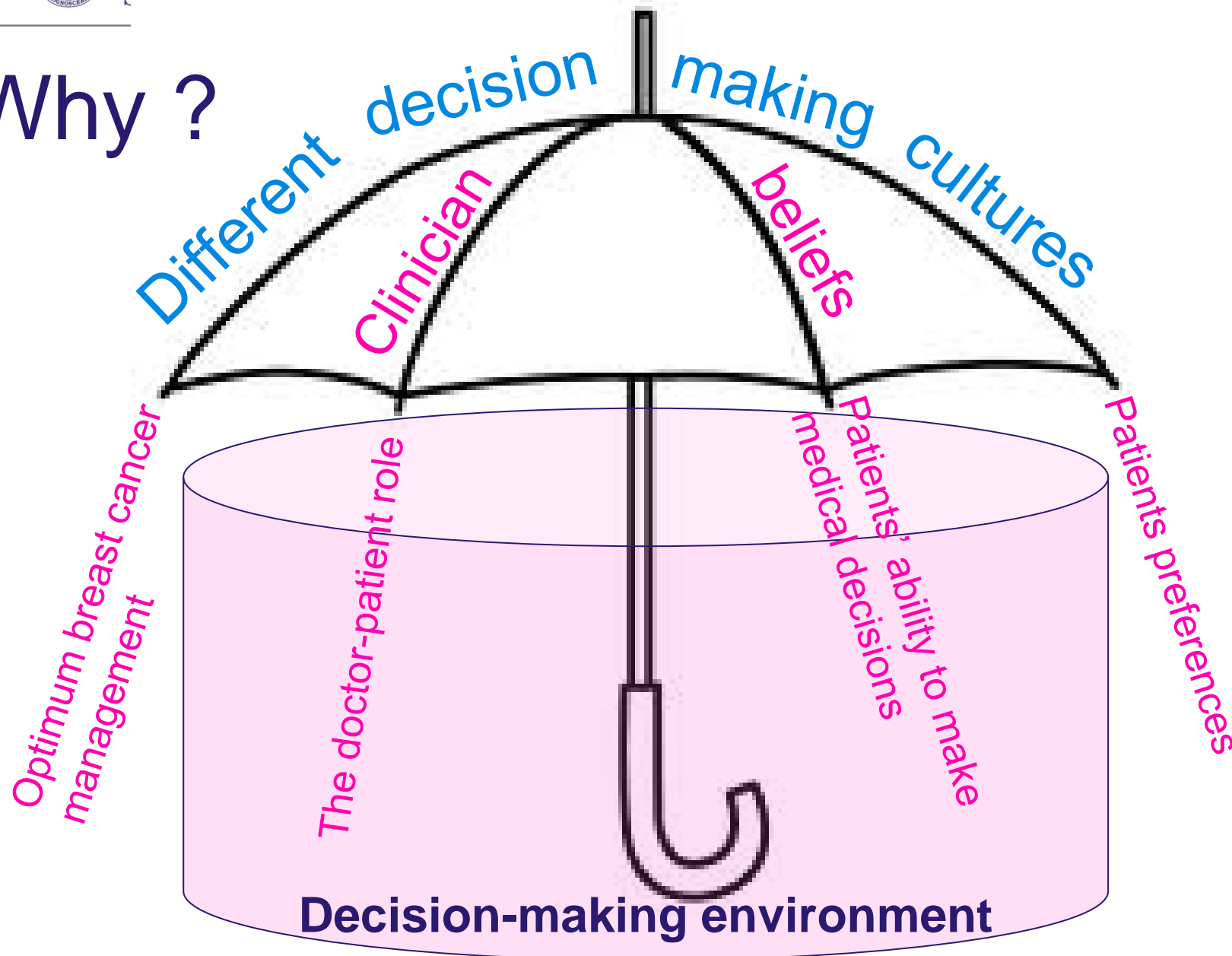
# Skewed power relationship

...or ameliorated by the decision-making environment





# Why ?



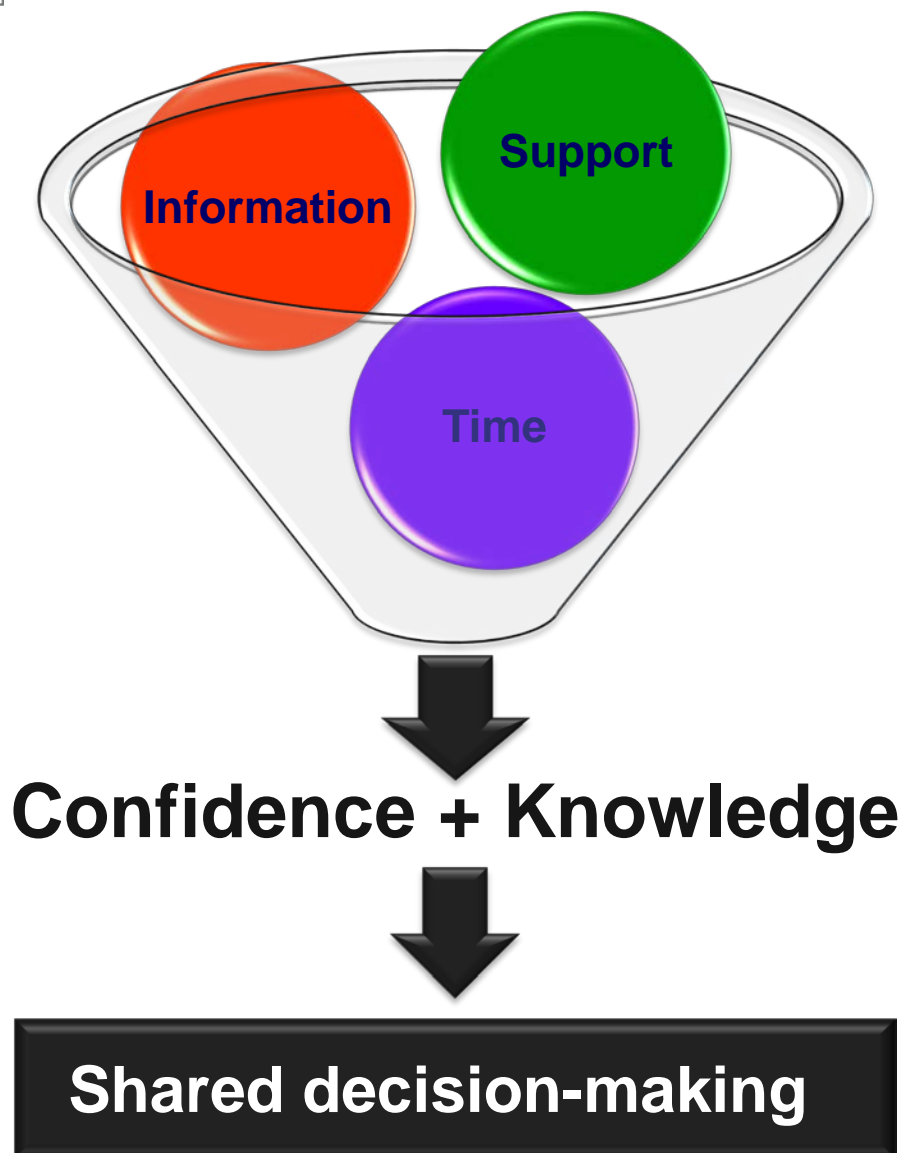


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# What can/should be done?

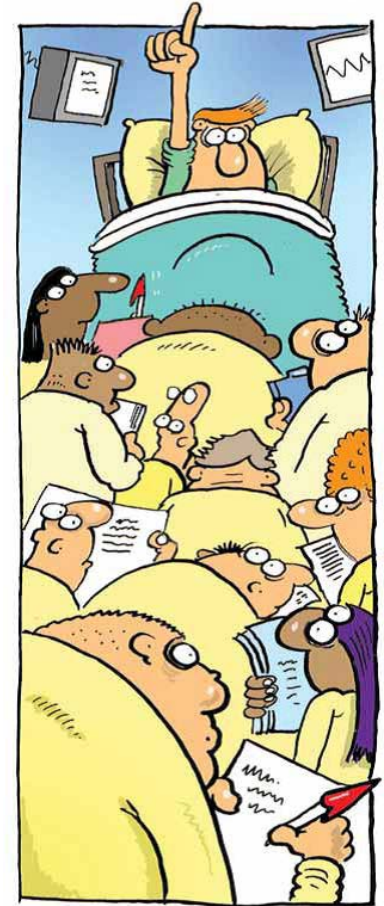


# Recipe



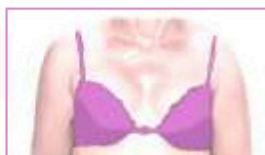


- Define the 'correct' mastectomy rate, or an acceptable range?
- Should patients only have mastectomy if conservation is contraindicated?



- If patient choice paramount?
  - Improved awareness & identification of preferences
  - Tailor decision-making
  - Communication skills training
  - Methods to empower patient decision-making

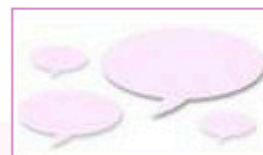




Surgery Options



Weighing it Up



Forum

Ctrl +  
Ctrl -  
changes  
text size

[Home](#)
[It's Your Choice](#)
[Surgery Options](#)
[Further Information](#)
[Patient Photos](#)
[What's Next?](#)
[Glossary](#)

**BresDex** is for women recently diagnosed with breast cancer and who have been given a choice between:

**Lumpectomy\* with Radiotherapy**

or

**Mastectomy**

*\*also known as Wide Local Excision or Breast Conserving Surgery*



**Professor Malcolm Reed**  
Consultant  
Breast Surgeon



**Julietta Patnick**  
Director, NHS Cancer  
Screening Programmes



**Helen McGarrigle**  
Clinical Nurse Specialist  
in Breast Care



**Helen Sweetland**  
Consultant  
Breast Surgeon

In many cases women will have been offered this choice if the cancer is less than 5 centimetres wide.

In some cases, women may have chemotherapy to try and make the cancer smaller to allow the possibility of lumpectomy.

Many women diagnosed with DCIS (Ductal Carcinoma in Situ or pre-invasive cancer) also have the choice between lumpectomy and mastectomy and can use this website.

BresDex is not for you if you have two or more cancers in the breast, or if you are a man with breast cancer.

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# Treatment decision-making variation is

- **Not** due to the cancers (Br J Cancer 2005; 92(1): 55-9)
- **Associated** with clinician preferences (Eur J Cancer 2007;43(11):1662-9)
- **Associated** with patients being more or less active in their roles in choosing treatments (Br J Surgery 2008; 95(3): 312-8)
- **Predominantly** dependant on patients' understanding of clinicians' preference (Br J Cancer 2011; 104: 1551-7)





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Sheffield.

# Thank you

## **Funding**

Cancer Research UK

The Royal College of Surgeons of England

## **Trent Breast Units**

Barnsley District General Hospital

Bassetlaw District General Hospital

Chesterfield Royal Hospital

Derby City General Hospital

Doncaster Royal Infirmary

Glenfield General Hospital

Grantham & District General Hospital

Kings Mill Hospital

Lincoln County Hospital

Nottingham City Hospital

Pilgrim Hospital

Rotherham District General Hospital

Sheffield Teaching Hospitals

## **Trent region's patients**

## **The research team**

University of Sheffield

Lisa Caldon

Sam Ahmedzai

Bill Noble

Stephen Walters

David Wilde

Sheffield Hallam University

Karen Collins

Consumer representatives

Hazel Marshall-Cork

Gillian Speed

## **East midlands QA (formerly Trent QA)**

Jacquie Reed

Alison Murphy

Anne Worley



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